

**DEPARTMENT OF WATER RESOURCES**

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June 6, 2014

Ms. Stacey Hafen  
Director of Administrative Services  
North Cal-Neva Resource Conservation and Development Council, Inc.  
Post Office Box 1434  
Alturas, California 96101-1434

Upper Pit Watershed Integrated Regional Water Management Plan Final Review

Dear Ms. Hafen:

This letter transmits the Department of Water Resources (DWR) final review of the Upper Pit Watershed Integrated Regional Water Management (IRWM) Plan. The public comment period on DWR's review of the Upper Pit Watershed IRWM Plan has closed and no public comments were received. DWR has determined that the Upper Pit Watershed IRWM Plan is consistent with the IRWM Planning Act and the related IRWM Plan Standards contained in the 2012 IRWM Program Guideline.

The final review is posted on the following link:

<http://www.water.ca.gov/irwm/grants/prp.cfm>.

If adopted by the Regional Water Management Group and project proponents, by the appropriate dates, the Upper Pit Watershed IRWM Plan will satisfy the terms of the Round 2 Implementation Grant Agreement's default clause and the adopted plan eligibility criteria for the 2014 Drought Solicitation. The grant agreement and grant solicitation have separate date for adoption compliance.

To simplify submitting proof of adoption, DWR will compile and track this information and inform DWR grant managers and grant application review teams appropriately. You may submit proof of adoption material as often as necessary. When submitting information, please fill out and the IRWM Plan Adoption Form, found at:

<http://www.water.ca.gov/irwm/grants/resourceslinks.cfm>, along with scanned proof of adoption, and then submit the material directly to Craig Cross at the email address listed below.

If you have any questions, please contact Craig Cross at (916) 651-9204 or [Craig.Cross@water.ca.gov](mailto:Craig.Cross@water.ca.gov)

Sincerely,

A handwritten signature in cursive script, reading "Tracie L. Billington".

Tracie L. Billington, P.E. Chief  
Financial Assistance Branch  
Division of Integrated Regional Water Management

## INTRODUCTION

IRWM planning regions must have an IRWM Plan that has been reviewed and deemed consistent with the 2012 IRWM Plan Standards by DWR for eligibility to receiving Round 3 Proposition 84 funding. This 2012 IRWM Plan Standards Review Form for DWR staff use provides a consistent means in determining whether the 2012 IRWM Guidelines are being addressed in the IRWM Plan. It is part of the Plan Review Process that will begin prior to Round 3 solicitation. The form is similar to a grant application review form in that there is a checklist for each of the 16 Plan Standards and narrative evaluations where required. However, the evaluation is pass/fail; there is no numeric scoring. Each Plan Standard is either sufficient or not based on its associated requirements. Each Standard consists of between one and fourteen requirements. A Yes or No is automatically calculated in each Plan Standard header based on the individual requirement evaluations. In general, a passing score of "C" (i.e. 70% of the requirements for a given Plan Standard) is required for a Standard to pass. Standards with only one or 2 requirements will need one or both of those requirements to pass. Standards with 3 requirements will need at least 2 of the requirements to pass. Standards with 4 or 5 requirements will need at least 3 to pass. Some plan elements are legislated requirements. Such plan elements must be met in order to be considered consistent with plan standards. A summary of the sufficiency of each Standard is automatically calculated on the Standards Summary worksheet. A "No" evaluation indicates that a Standard was not met due to insufficient requirements comprising the Standard. The evaluation for each Plan Standard and any associated insufficiencies is automatically compiled on the Standards Summary page. Additional reviewer comments may be added at the bottom of each standards work sheet.

**Note:** This review form is meant to be a tool used in conjunction with the 2012 IRWM Guidelines document to assist in the evaluation of IRWM plans. It is not designed to be a substitute for the Guidelines document itself. Reviewers must use the Guidelines in determining plan consistency.

## DEFINITION OF TABLE HEADINGS

**IRWM Plan Standard:** As named in the November 2012 IRWM Prop 84 and 1E Guidelines.

**Overall Standard Sufficient:** This field is either "YES" or "NO" and is automatically calculated based on the "Sufficient" column described below. If all fields are "y", the the overall standard is deemed sufficient. Any entry other than a "y" in the Sufficient column (i.e. "n", "?", not sure, more detail needed, etc.) results in a NO.

**Plan Standard Requirements Which Must Be Addressed** Fields with an asterisk \* are required by legislation to be included in an IRWM Plan.

Requirement	Requirements are taken directly from the November 2012 Guidelines.
Included	Is the Guideline Requirement included in the IRWM Plan? The options are: y = yes, requirement is included in the IRWMP; or n = no, requirement is not included in the IRWMP. If only y or n then presence/absence of the requirement is sufficient for evaluation. If there is a "q" (qualitative) then add a brief narrative, similar to a Grant Application Review public evaluation or supporting information.
Plan Standard Source	
2012 IRWM Grant Program Guidelines Source Page(s)	Page(s) in the Guidelines (November 2012) which pertain to the Requirement.
Legislative Support and/or Other Citations	The CWC or other regulations that pertain to the Requirement, <b>if applicable</b> . This is for reference purposes. The cell links to a weblink of the regulatory code.
Evidence of Sufficiency	
Location of Standard in Grantee IRWM Plan	The page(s) or sections in the IRWM Plan where information on the Requirement can be found. This can be specific paragraphs or entire chapters for more general requirements.
Brief Qualitative Evaluation Narrative	Supporting information for the Requirement if a "q" is in the Included column. This can be just a few sentences or a paragraph and can be taken directly from the IRWM Plan. Comments or supporting information may be entered regardless of whether required.
Sufficient	Is the Guidelines requirement sufficiently represented in the IRWM Plan (y/n).

## 2012 IRWM Plan Standards Review Form

Regional Acceptance Process Planning Region: Upper Pit River Watershed  
Regional Water Management Group: Pit River Watershed Regional Water Management Group  
IRWM Plan Title: Upper Pit River Integrated Regional Water Management Plan

### PLAN IS SUFFICIENT

IRWM Plan Standard	Overall Standard Sufficient	Requirement(s) Insufficient
<a href="#">Governance</a>	Yes	
<a href="#">Region Description</a>	Yes	
<a href="#">Objectives</a>	Yes	
<a href="#">Resource Management Strategies</a>	Yes	
<a href="#">Integration *</a>	Yes	
<a href="#">Project Review Process</a>	Yes	
<a href="#">Impact and Benefit</a>	Yes	
<a href="#">Plan Performance and Monitoring</a>	Yes	
<a href="#">Data Management</a>	Yes	
<a href="#">Finance</a>	Yes	
<a href="#">Technical Analysis</a>	Yes	
<a href="#">Relation to Local Water Planning</a>	Yes	
<a href="#">Relation to Local Land Use Planning</a>	Yes	
<a href="#">Stakeholder Involvement</a>	Yes	
<a href="#">Coordination</a>	Yes	
<a href="#">Climate Change</a>	Yes	

\* If not included as an individual section use Governance, Project Review Process, and Data Management Standards per November 2012 Guidelines, p. 44.

#### Additional Comments:

A list of acronyms in the IRWM Plan would be helpful. Internal numbering of sections is difficult to follow, for example Section 1 appears in all chapters as opposed to writing 2.1 or 3.1. DWR recommends considering these changes in future plan updates.

IRWM Plan Standard: Governance					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Regulatory and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Document a governance structure to ensure updates to the IRWM Plan							
The name of the RWMG responsible for implementation of the IRWMP	y/n	Y	18/35	<a href="#">CWC §10539</a>	Chapter 1, Chapter 15. 1.0;1.2.1;1.1.1; 1.2.3		Y
A description of the IRWM governance structure	y/n	Y	19/36		Chapter 15; 1.1.1; 1.1.2; 1.2; 1.2.3		Y
A description of how the chosen form of governance <b>addresses</b> and <b>ensures</b> :							
Public outreach and involvement processes	y/n/q	Y	19/36-37	<a href="#">§10540, §10541</a>	Chapter 15; 1.3.1-1.3.7	Plan includes a discussion of the process for recruitment and participation, Appendix 2.1 describes plan development outreach efforts.	Y
Effective decision making	y/n/q	Y	19/37		Chapter 1.3.5	The decision making process is described in Chapter 15, and its effectiveness is based on performance that occurred during the Plan development process.	Y
Balanced access and opportunity for participation in the IRWM process	y/n/q	Y	19/37		Chapter 1.3.6	Chapter 15, Section 1.3.6 describes the challenges to effective communication and access and opportunity to participate in IRWM activities.	Y
Effective communication – both internal and external to the IRWM region	y/n/q	Y	19/37-38		Chapter 1.3.7	See note above	Y
Long term implementation of the IRWM Plan	y/n/q	Y	19/38		Chapter 1.3.8	A discussion of the long-term implementation of the plan is included.	Y
Coordination with neighboring IRWM efforts and State and federal agencies	y/n/q	Y	19/38		Chapter 1.3.9	Chapter 2 discusses coordination with adjacent IRWMs and public agencies.	Y
The collaborative process(es) used to establish plan objectives	y/n/q	Y	19/38		Chapter 1.3.10 Chap 15	Chapter 15 refers to Chapter 2 "Stakeholder Participation", which explains the collaborative process.	Y
How interim changes and formal changes to the IRWM Plan will be performed	y/n/q	Y	19/38		Chapter 1.3.11	Interim and formal changes to the plan are discussed in Chapter 15.	Y
Updating or amending the IRWM Plan	y/n/q	Y	19/38		Chapter 1.3.2; 2.0; 2.1	Discussed in Chapter 15.	Y
Publish NOI to prepare/update the plan; adopt the plan in a public meeting	y/n/q	Y	35	<a href="#">CWC §10543</a>	1.3.1; 1.3.2; 2.0	Notice of Intent to adopt Plan is discussed in Chapter 15.	Y

IRWM Plan Standard: Region Description					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
If applicable, describe and explain how the plan will help reduce dependence on the Delta supply regionally	y/n	Y	20	--	Chapter 3, Sec 1.1.3	Region is not dependent on the Delta.	N/A
Describe watersheds and water systems	y/n	Y	19/39	<a href="#">PRC §75026.(b)(1) and CWP Update 2009</a>	Chapter 3, Sec 1.1 Chapter 3, 1.0; 1.2; 1.2.2; 1.5		Y
Describe internal boundaries	y/n	Y	19/39	--			Y
Describe water supplies and demands for minimum 20 year planning horizon	y/n	Y	19/39	--	Chapter 3 1.1.3 Chapter 5 Sec 5.2		Y
Describe water quality conditions	y/n	Y	19/40	--	Chapter 3 Sec 1.3		Y
Describe social and cultural makeup, including specific information on DACs and tribal communities in the region and their water challenges.	y/n/q	Y	19/40	--	Chapter 3 Sec 1.4.1-1.4.4; 1.5	The plan includes a good description of DAC's and tribal communities and their water challenges.	Y
Describe major water related objectives and conflicts *	y/n/q	Y	19/40	<a href="#">§10541. (e)(3)</a>	Chapter 4 Issues and Conflicts Chapter 7 Goals and Objectives	Water related objectives and conflicts of the region are well described.	Y
Explain how IRWM regional boundary was determined and why region is an appropriate area for IRWM planning.	y/n/q	Y	19/40	--	Chapter 3, Chapter 15 Sec 1.5.1; 1.6; 1.1.1	Chapter 3 contains a good explanation of the reasoning behind the regional boundary for the planning area.	Y
Describe neighboring and/or overlapping IRWM efforts	y/n	Y	19/40	--	Chapter 3 Sec 1.6		Y
Explain how opportunities are maximized (e.g. people at the table, natural features, infrastructure) for integration of water management activities	y/n	Y	38	--	Chapter 3 Sec 1.6.1; 1.6.2		Y

\* Requirement must be addressed.

IRWM Plan Standard: Objectives					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Qualitative Narrative	y/n
Through the objectives or other areas of the plan, the 7 items on pg 41 of GL are addressed.*	y/n	Y	20/40 - 41	<a href="#">§10540.(c)</a>	All sections of Chapter 7		Y
Describe the collaborative process and tools used to establish objectives: - How the objectives were developed - What information was considered (i.e., water management or local land use plans, etc.) - What groups were involved in the process - How the final decision was made and accepted by the IRWM effort	y/n	Y	20/41	--	Chapter 7, Sec 1.0		Y
Identify quantitative or qualitative metrics and measureable objectives: Objectives must be measurable - there must be some metric the IRWM region can use to determine if the objective is being met as the IRWM Plan is implemented. Neither quantitative nor qualitative metrics are considered inherently better.*	y/n/q	Y	20/41 - 42	<a href="#">10541.(e)</a>	Chapter 7, sec 1.2	Objectives are discussed in Chapter 7. Most objectives are measurable or include some type of metric.	Y
Explain how objectives are prioritized or reason why the objectives are not prioritized	y/n/q	Y	20/42-43	--	Chapter 7 1.1	Plan states that because objectives are interrelated and, often, interdependent it would be counter productive to rank them.	Y
Reference specific overall goals for the region: RWMGs may choose to use goals as an additional layer for organizing and prioritizing objectives, or they may choose to not use the term at all.	y/n	Y	43	--	Chapter 7 Sec 1.2		Y

\* Requirement must be addressed.

IRWM Plan Standard: Resource Management Strategies (RMS)						Overall Standard Sufficient	Yes
Requirement	Included		Plan Standard Source			Evidence of Sufficiency	Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify RMS incorporated in the IRWM Plan: Consider all California Water Plan (CWP) RMS criteria (29) listed in Table 3 from the CWP Update 2009 *	y/n	Y	20/43	<a href="#">CWP Update 2009 Volume II; 10541(e)(1)</a>	Chapter. 6, Sec 1.0; 2.0; 3.0 Table 6-1; 6.2		Y
Consideration of climate change effects on the IRWM region must be factored into RMS	y/n	Y	20/43	CWP Update 2009 Volume II; 10541(e)(1)	Chapter 6: sections 2.0 & 4.0, & Table 6-1		Y
Address which RMS will be implemented in achieving IRWM Plan Objectives	y/n	Y	44	--	Chapter 6, Table 6-2; Sec 4.0		Y

\* Requirement must be addressed.

IRWM Plan Standard: Integration					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contains structure and processes for developing and fostering integration <sup>1</sup> : - Stakeholder/institutional - Resource - Project implementation	y/n/q	Y	20/44 - 45	<a href="#">§10540.(g);</a> <a href="#">§10541.(h)(2)</a>	Chapter 6 Sec 5.0		Y

1. If not included as an individual section use Governance, Project Review Process, and Data Management Standards per November 2012 Guidelines, p. 44.



IRWM Plan Standard: Project Review Process					Overall Standard Sufficient		Yes	
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient	
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Regulatory and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n	
Process for projects included in IRWM plan must address 3 components: - procedures for submitting projects - procedures for reviewing projects - procedures for communicating lists of selected projects	y/n	y	20/45	§75028.(a)	Chap 9, 2.0-2.8; 3.2		y	
Does the project review process in the plan incorporate the following factors:								
How a project contributes to plan objectives	y/n	Y	20		Chapter 9, 4.0; 2.4; Appendix 9-3, 9-5		Y	
How a project is related to Resource Management Strategies identified in the plan.	y/n	Y	20		Chapter 9, 4.0; 2.4; Appendix 9-3, 9-5		Y	
The technical feasibility of a project.	y/n	Y	20		Chapter 9 ; 2..1; 3.0; Appendix 9-3, 9-5		Y	
A projects specific benefits to a DAC water issue.	y/n	Y	20		Chapter 9, Table 9.1; Appendix 9-5. sec 9-5		Y	
Environmental Justice considerations.	y/n	Y	20		Appendix 9-5		Y	
Project costs and financing	y/n	Y	20		Appendix 9-5		Y	
Address economic feasibility	y/n	y	21		Appendix 9-5		Y	
Project status	y/n	Y	21		Appendix 9-5		Y	
Strategic implementation of plan and project merit	y/n	Y	21/48		Appendix 9-5		Y	
Project's contribution to climate change adaptation	y/n	Y	21		Appendix 9-5		Y	
Contribution of project in reducing GHGs compared to project alternatives	y/n	Y	21		Appendix 9-3; 9-5		Y	
Status of the Project Proponent's IRWM plan adoption	y/n	Y	21		Ch 9 Sec 3.2		Y	
Project's contribution to reducing dependence on Delta supply (for IRWM regions receiving water from the Delta).	y/n	N/A	21		N/A		N/A	

IRWM Plan Standard: Impact and Benefit						Overall Standard Sufficient	Yes
Requirement	Included		Plan Standard Source			Evidence of Sufficiency	Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Discuss potential impacts and benefits of plan implementation within IRWM region, between regions, with DAC/EJ concerns and Native American Tribal communities	y/n	Y	21	--	Chapter 10, Table 10-1 2.0; 3.0;5.0;6.0		Y
State when a more detailed project-specific impact and benefit analysis will occur (prior to any implementation activity)	y/n	Y	49	--	Chapter 10 Tab 10-1, 1.0; 4.0; 5.0; 5.2; 6.0		Y
Review and update the impacts and benefits section of the plan as part of the normal plan management activities	y/n	Y	50	--	Chapter 10, sec 2.0		Y

IRWM Plan Standard: Plan Performance and Monitoring						Overall Standard Sufficient	Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contain performance measures and monitoring methods to ensure that IRWM objectives are met *	y/n	Y	21/53	<a href="#">PRC §75026.(a)</a>	Chapter 11, S 3.0; 3.1		Y
Contain a methodology that the RWMG will use to oversee and evaluate implementation of projects.	y/n	Y	21/53		Chapter 11, S 2.0; 2.1; 3.0; 3.1		Y

\* Requirement must be addressed.

IRWM Plan Standard: Data Management					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Regulatory and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Describe data needs within the IRWM region	y/n	Y	54	--	Chapter 14, S 1.1		Y
Describe typical data collection techniques	y/n	Y	54	--	Chapter 14, S 1.2		Y
Describe stakeholder contributions of data to a data management system	y/n	Y	54	--	Chapter 14, S 1.2.1; 1.3		Y
Describe the entity responsible for maintaining data in the data management system	y/n	Y	54	--	Chapter 14, 1.4		Y
Describe the QA/QC measures for data	y/n	Y	54	--	Chapter 14, S 1.5		Y
Explain how data collected will be transferred or shared between members of the RWMG and other interested parties throughout the IRWM region, including local, State, and federal agencies *	y/n	Y	54	--	Chapter 14, S 1.3; 1.6		Y
Explain how the Data Management System supports the RWMG's efforts to share collected data	y/n	Y	54	--	Chapter 14, S 1.3; 1.6		Y
Outline how data saved in the data management system will be distributed and remain compatible with State databases including CEDEN, Water Data Library (WDL), CASGEM, California Environmental Information Catalog (CEIC), and the California Environmental Resources Evaluation System (CERES).	y/n	Y	54	--	Chapter 14, S 1.3.1; 1.5; 1.6		Y

\* Requirement must be addressed.

IRWM Plan Standard: Finance					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Include a programmatic level (i.e. general) plan for implementation and financing of identified projects and programs* including the following:	y/n	Y	21	<a href="#">§10541.(e)(8)</a>	Chapter 12, 2.0; 2.1; 3.0		Y
List known, as well as, possible funding sources, programs, and grant opportunities for the development and ongoing funding of the IRWM Plan.	y/n	Y	21		Chapter 12, 2.1; 3.0		Y
List the funding mechanisms, including water enterprise funds, rate structures, and private financing options, for projects that implement the IRWM Plan.	y/n	Y	21		Chapter 12, 5.0; 5.1-5.4		Y
An explanation of the certainty and longevity of known or potential funding for the IRWM Plan and projects that implement the Plan.	y/n	Y	21		Chapter 12, S 3.1		Y
An explanation of how operation and maintenance (O&M) costs for projects that implement the IRWM Plan would be covered and the certainty of operation and maintenance funding.	y/n	Y	21		Chapter 12 5.0		Y

\* Requirement must be addressed.

IRWM Plan Standard: Technical Analysis						Overall Standard Sufficient	Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Document the data and technical analyses that were used in the development of the plan *	y/n	Y	22	--	Chapter 13, Table 13-1; 2.0-2.4		Y

\* Requirement must be addressed.

IRWM Plan Standard: Relation to Local Water Planning					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify a list of local water plans used in the IRWM plan	y/n	Y	22	<a href="#">§10540.(b)</a>	Chapter 13, Table 13-1, Chapterap 5 Table 5-1; 3.1.1; 3.4-3.6		Y
Discuss how the plan relates to these other planning documents and programs	y/n	Y	22		Chapter 5, 3.0;-3.4; 5.0-5.2.2		Y
Describe the dynamics between the IRWM plan and other planning documents	y/n	Y	22		Chapter 5, 3.0; 3.4-3.6		Y
Describe how the RWMG will coordinate its water mgmt planning activities	y/n	Y	58		Chapter 5 5.2.1-5.2.3		Y

IRWM Plan Standard: Relation to Local Land Use Planning					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Document current relationship between local land use planning, regional water issues, and water management objectives	y/n	Y	22/59 - 62	--	Chapter 5, S 3.0; 7.0		Y
Document future plans to further a collaborative, proactive relationship between land use planners and water managers	y/n	Y	22/59 - 62	--	Chapter 5, S 5.0-5.2.3		Y



IRWM Plan Standard: Stakeholder Involvement					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Contain a public process that provides outreach and opportunity to participate in the IRWM plan *	y/n	Y	22/63	<a href="#">§10541.(g)</a>	Chapter 2, 3.0; 7.0; App 2-1		y
Identify process to involve and facilitate stakeholders during development and implementation of plan regardless of ability to pay; include barriers to involvement *	y/n	Y	64	§10541.(h) (2)	Chapter 2, 3.0; 5.0		y
Discuss involvement of DACs and tribal communities in the IRWM planning effort	y/n	Y	23	--	Chapter 2, 4.0; 4.1; App 15-1; App 9-1		y
Describe decision-making process and roles that stakeholders can occupy	y/n	Y	23	--	Chapter 2, 6.0		y
Discuss how stakeholders are necessary to address objectives and RMS	y/n	Y	23	--	Chapter 2, 7.0; 8.0-8.2		y
Discuss how a collaborative process will engage a balance in interest groups	y/n	Y	23	--	Chapter 2, 2.0; 8.2		y

\* Requirement must be addressed.

IRWM Plan Standard: Coordination					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Identify the process to coordinate water management projects and activities of participating local agencies and stakeholders to avoid conflicts and take advantage of efficiencies *	y/n	y	23/65	<a href="#">§10541.(e)(13)</a>	Chapter 2, Sec 8.3-8.4 Chapter 9 Sec 2.9		y
Identify neighboring IRWM efforts and ways to cooperate or coordinate, and a discussion of any ongoing water management conflicts with adjacent IRWM efforts	y/n	y	23/65	--	Chapter 2, Sec 8.4-8.5		Y
Identify areas where a state agency or other agencies may be able to assist in communication or cooperation, or implementation of IRWM Plan components, processes, and projects, or where State or federal regulatory decisions are required before implementing the projects.	y/n	y	23	--	Chapter 2, Sec 8.4.4-8.5	There are a number of opportunities for state and federal coordination in the region as much of the land area is publically owned. Representatives of regulatory agencies have been participating in the Regional Water Management Group activities in an advisory capacity.	y

\* Requirement must be addressed.

IRWM Plan Standard: Climate Change					Overall Standard Sufficient		Yes
Requirement	Included		Plan Standard Source		Evidence of Sufficiency		Sufficient
From IRWM Guidelines	y/n - Present/Not Present in the IRWMP. If y/n/q qualitative evaluation needed.		2012 IRWM Grant Program Guidelines Source Page(s)	Legislative Support and/or Other Citations	Location of Standard in Grantee IRWM Plan	Brief Evaluation Narrative	y/n
Evaluate IRWM region’s vulnerabilities to climate change and potential adaptation responses based on vulnerabilities assessment in the DWR Climate Change Handbook for Regional Water Planning *	y/n	Y	23/66 - 73	Climate Change Handbook vulnerability assessment: <a href="http://www.water.ca.gov/climatechange/CCHandbook.cfm">http://www.water.ca.gov/climatechange/CCHandbook.cfm</a> ; November 2012 Guidelines Legislative and Policy Context, p. 66 §10541.( e )(11)	Chapter 8: sections 3.0, 3.3, & 3.4; Table 8.1		Y
Provide a process that considers GHG emissions when choosing between project alternatives *	y/n	Y	23/68		Chapter 8: sections 4.1, 4.2; Ch 9: Tables 9.2 & 9.4; Appendices 8-3, 9-3, 9-4, & 9-5		Y
Include a list of prioritized vulnerabilities based on the vulnerability assessment and the IRWM’s decision making process.	y/n	Y	23/66 - 73		Chapter 8, section 3.5		Y
Contain a plan, program, or methodology for further data gathering and analysis of prioritized vulnerabilities	y/n	Y	23/66 - 73		Chapter 8, section 5.0		Y
Include climate change as part of the project review process	y/n	Y	23/68		Chapter 9: Tables 9.2 & 9.4; Appendices 9-3, 9-4, & 9-5		Y

\* Requirement must be addressed.

Regulatory Citation	Link	Notes
IRWM Prop 84 and 1E Guidelines	<a href="http://www.water.ca.gov/irwm/grants/guidelines.cfm">http://www.water.ca.gov/irwm/grants/guidelines.cfm</a>	DWR November 2012 Guidelines - Final
CWC §10539	<a href="http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10532-10539">http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10532-10539</a>	
CWC §10540, §10541	<a href="http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543">http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543</a>	
CWC §10543	<a href="http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543">http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543</a>	
PRC §75026, §75028, CWP Update 2009, and California Watershed Portal		The Department of Water Resources shall give preference to proposals that satisfy the criteria specified in PRC §75026.(b)(1). §75028.(a) - the department shall defer to approved local project selection, and review projects only for consistency with the purposes of Section 75026.
	<a href="http://www.waterplan.water.ca.gov/cwpu2009/index.cfm">http://www.waterplan.water.ca.gov/cwpu2009/index.cfm</a>	2009 California Water Plan Volumes I and II
	<a href="http://www.conservation.ca.gov/dlrp/watershedportal/Pages/Index.aspx">http://www.conservation.ca.gov/dlrp/watershedportal/Pages/Index.aspx</a>	California Watershed Portal
§10541. (e)(3)	<a href="http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543">http://www.leginfo.ca.gov/cgi-bin/displaycode?section=wat&amp;group=10001-11000&amp;file=10540-10543</a>	